

# Versal Deformations of a Dirac Type Differential Operator

Anatoliy K. PRYKARPATSKY<sup>†</sup> and Denis BLACKMORE<sup>‡</sup>

<sup>†</sup> *Department of Applied Mathematics at AGH, Cracow 30-059, Poland;*

*Department of Nonlinear Mathematical Analysis at IAPMM of NAS,  
Lviv 290601, Ukraina*

*E-mail: prika@mat.agh.edu.pl*

<sup>‡</sup> *Department of Mathematical Sciences and Center for Applied Mathematics  
and Statistics, New Jersey Institute of Technology, Newark, NJ 07102-1982, USA*

*E-mail: deblac@chaos.njit.edu*

*Received November 10, 1998; Revised February 25, 1999; Accepted April 1, 1999*

## Abstract

If we are given a smooth differential operator in the variable  $x \in \mathbb{R}/2\pi\mathbb{Z}$ , its normal form, as is well known, is the simplest form obtainable by means of the  $\text{Diff}(S^1)$ -group action on the space of all such operators. A versal deformation of this operator is a normal form for some parametric infinitesimal family including the operator. Our study is devoted to analysis of versal deformations of a Dirac type differential operator using the theory of induced  $\text{Diff}(S^1)$ -actions endowed with centrally extended Lie-Poisson brackets. After constructing a general expression for transversal deformations of a Dirac type differential operator, we interpret it via the Lie-algebraic theory of induced  $\text{Diff}(S^1)$ -actions on a special Poisson manifold and determine its generic moment mapping. Using a Marsden-Weinstein reduction with respect to certain Casimir generated distributions, we describe a wide class of versally deformed Dirac type differential operators depending on complex parameters.

## 1 Introduction

Suppose we are given the linear 2-vector first order Dirac differential operator on the real axis  $\mathbb{R}$ :

$$L_\lambda f := -\frac{df}{dx} + l_\lambda[u, v; z]f, \quad l_\lambda[u, v; z] := \begin{pmatrix} z - \lambda & u \\ v & \lambda - z \end{pmatrix} \quad (1.1)$$

acting on the Sobolev space  $W_{2,loc}^{(1)}(\mathbb{R}; \mathbb{C}^2)$  and depending on  $2\pi$ -periodic coefficients  $u, v, z \in C^\infty(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C})$  and a complex parameter  $\lambda \in \mathbb{C}$ . The variety of all operators (1.1), parametrized by  $\lambda$ , will be denoted by  $\mathcal{L}_\lambda$ .

Let  $\mathcal{A} := \text{Diff}(S^1)$  be the group of orientation preserving diffeomorphisms of the circle  $S^1$ . A group action of  $\mathcal{A}$  on  $\mathcal{L}_\lambda$  can be defined as follows: Fixing a parametrization of  $S^1$ , i.e., a  $C^\infty$  covering  $p : \mathbb{R} \rightarrow S^1$  such that the mapping  $p : [a, a + 2\pi) \xrightarrow{\cong} S^1$  is one-to-one for every real  $a$  and  $p(x + 2\pi) = p(x)$  for all  $x \in \mathbb{R}$ , each  $\phi \in \mathcal{A}$  can obviously be represented by a smooth mapping  $\phi : \mathbb{R} \rightarrow \mathbb{R}$  such that

$$\phi(\xi + 2\pi) = \phi(\xi) + 2\pi \quad \text{and} \quad \phi'(\xi) > 0 \tag{1.2}$$

for all  $\xi \in \mathbb{R}$ . Upon making the change of variables

$$x = \phi(\xi), \quad f(\phi(\xi)) = \Phi(\xi)\tilde{f}(\xi), \tag{1.3}$$

with  $\phi \in \mathcal{A}$ ,  $\Phi \in G := C^\infty(\mathbb{R}/2\pi\mathbb{Z}; SL(2; \mathbb{C}))$  and  $x, \xi \in \mathbb{R}$ , in (1.1), it is easy to see that the differential operator  $L_\lambda$  transforms into  $L_\lambda^{(\phi, \Phi)} : W_2^{(1)} \rightarrow W_2^{(1)}$  defined as

$$L_\lambda^{(\phi, \Phi)} \tilde{f}(\xi) := -\frac{d\tilde{f}}{d\xi} + l_\lambda^{(\phi, \Phi)}[u, v; z]\tilde{f}, \tag{1.4}$$

where

$$l_\lambda^{(\phi, \Phi)}[u, v; z] := -\Phi^{-1}(\xi)\frac{d\Phi(\xi)}{d\xi} + \phi'(\xi)\Phi^{-1}(\xi)l_\lambda[u, v; z]\Phi(\xi). \tag{1.5}$$

We assume now that the matrix  $\Phi(\xi)$  is chosen so that  $l_\lambda^{(\phi, \Phi)}[u, v; z] = l_\lambda[\tilde{u}, \tilde{v}; \tilde{z}]$  for all  $\lambda \in \mathbb{C}$  and some mapping  $(\tilde{u}, \tilde{v}; \tilde{z})^T \in C^\infty(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C}^2 \times \mathbb{C})$ . Whence we obtain an induced nonlinear transformation  $A^*(\phi, \Phi) : \mathcal{L}_\lambda \rightarrow \mathcal{L}_\lambda$ ,  $(\phi, \Phi) \in \mathcal{A} \times G$ , where

$$A^*(\phi, \Phi)l_\lambda[u, v; z] := l_\lambda^{(\phi, \Phi)}[u, v; z] \tag{1.6}$$

for all mappings in  $C^\infty(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C}^2 \times \mathbb{C})$ . This together with expression (1.5) determines an automorphism  $A^*$  of  $\mathcal{A}$ , for a fixed  $\Phi$ , that we shall study in detail. We are primarily interested in describing normal forms and versal deformations of (1.1) with respect to the automorphism  $A^*$ .

As is well known (see [1, 2, 5]), a normal form of the operator (1.1) is the simplest (in some sense) representative of its orbit under the group action of  $\mathcal{A}$  on the space  $\mathcal{L}_\lambda$ . A versal deformation of (1.1) is a normal form for a stable parametric infinitesimal family including (1.1). As will be shown below, all such deformations can be described by means of Lie-algebraic analysis of this group action on  $\mathcal{L}_\lambda$  and an associated momentum mapping reduced on certain invariant subspaces.

## 2 Lie-algebraic structure of the $\mathcal{A}$ -action

Let us consider the loop group  $G := G_{S^1}(SL(2; \mathbb{C}))$  of all smooth mappings  $S^1 \rightarrow SL(2; \mathbb{C})$  and its corresponding group  $\mathcal{A}$ -action on a functional manifold  $M \subset C^\infty(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C}^3)$ , which is assumed to be equivariant; that is, the diagram

$$\begin{array}{ccc} M & \xrightarrow{l} & \mathcal{G}^* \\ A_\Phi \downarrow & & \downarrow Ad_{\Phi^{-1}}^* \\ M & \xrightarrow{l} & \mathcal{G}^* \end{array} \tag{2.1}$$

commutes for all  $l$  in the adjoint  $\mathcal{G}^*$  of the loop Lie algebra and  $\Phi \in G$ . Whence we can define on  $M$  a natural Poisson structure that induces the following canonical Lie-Poisson structure on  $\mathcal{G}^*$ : for any  $\gamma, \mu \in D(\mathcal{G}^*)$ ,

$$\{\gamma, \mu\} := (l, [\nabla\gamma(l), \nabla\mu(l)]). \quad (2.2)$$

Here  $(\cdot, \cdot)$  is the usual Killing type nondegenerate, symmetric, invariant scalar product on the loop Lie algebra  $\mathcal{G} = C_{S^1}(sl(2; \mathbb{C}))$ , i.e. for any  $a, b \in \mathcal{G}$ ,

$$(a, b) := \int_0^{2\pi} dx \operatorname{Sp}(ab) \quad (2.3)$$

and  $\nabla : D(\mathcal{G}^*) \rightarrow \mathcal{G}$  is defined as  $(\nabla\gamma(l), \delta l) := \frac{d}{d\epsilon}\gamma(l + \epsilon\delta l) |_{\epsilon=0}$  for any  $\delta l \in \mathcal{G}^*, \gamma \in D(\mathcal{G}^*)$ .

In order to address the problems posed in Section 1, we need to centrally extend the group action  $A_\Phi : M \rightarrow M$ ,  $\Phi \in G$ , as follows: for  $\hat{\Phi} := (\Phi, c) \in \hat{G} := G \times \mathbb{C}$  the corresponding action  $A_{\hat{\Phi}} : M \rightarrow M$  is defined so that the diagram

$$\begin{array}{ccc} M & \xrightarrow{\hat{l}} & \hat{\mathcal{G}}^* \\ A_{\hat{\Phi}} \downarrow & & \downarrow Ad_{\hat{\Phi}^{-1}}^* \\ M & \xrightarrow{\hat{l}} & \hat{\mathcal{G}}^* \end{array} \quad (2.4)$$

commutes for all  $\hat{\Phi} \in \hat{G}$  and  $\hat{l} = (l, c) \in \hat{\mathcal{G}}^*$ . This leads to the following (unique!) choice of the extended  $Ad^*$ -action in (2.4):

$$Ad_{\hat{\Phi}^{-1}}^* : (l, c) \in \mathcal{G}^* \rightarrow \left( \phi'(\xi) Ad_{\Phi^{-1}} l(x) - c\Phi^{-1} \frac{d\Phi}{d\xi}, c \right) \quad (2.5)$$

for all  $\hat{\Phi} \in \hat{G}$ ,  $l \in \mathcal{G}^*$  at  $\xi \in \mathbb{R}$ ,  $x = \phi(\xi)$  and  $c \in \mathbb{C}$ . This expression follows from the fact that the loop Lie algebra  $\mathcal{G}$  admits only the central extension  $\hat{\mathcal{G}} \oplus \mathbb{C}$ . As the homology groups  $H^1(\mathcal{G}) = 0$  and  $H^2(\mathcal{G}) = 1$ , it is represented as

$$[(a, \alpha), (b, \beta)] := ([a, b], (a, db/dx)) \quad (2.6)$$

for any  $a, b \in \mathcal{G}$  and  $\alpha, \beta \in \mathbb{C}$ . Taking  $c$  to be unity and defining an appropriate diffeomorphism  $x \rightarrow \phi(x) = \xi$  of  $\mathbb{R}$ , it is easy to see that  $Ad_{\hat{\Phi}^{-1}}^*$  has the same structure element as that of the action  $A^*(\phi, \Phi)$  on  $\mathcal{L}_\lambda$  defined above. Whence it is clear that our Lie-algebraic analysis is intimately connected with the structure of the  $G$ -orbits induced by the diffeomorphism group  $\mathcal{A} = \operatorname{Diff}(S^1)$ .

We define a natural Lie-Poisson bracket on the adjoint space  $\hat{\mathcal{G}}^*$  as follows: for any  $\gamma, \mu \in D(\hat{\mathcal{G}}) \subset \hat{\mathcal{G}}^*$ ,

$$\{\gamma, \mu\}_0 := (l, [\nabla\gamma(l), \nabla\mu(l)]) + \left( \nabla\gamma(l), \frac{d\nabla\mu(l)}{dx} \right), \quad (2.7)$$

and deform it into a brackets pencil using a constant parameter  $\lambda \in \mathbb{C}$  via

$$\{\gamma, \mu\}_0 \xrightarrow{\lambda} \{\gamma, \mu\}_\lambda := (\nabla\gamma(l), \frac{d}{dx}\nabla\mu(l)) + (l + \lambda J, [\nabla\gamma(l), \nabla\mu(l)]), \quad (2.8)$$

where  $J \in sl^*(2; \mathbb{C})$  is chosen here to be the constant matrix

$$J = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (2.9)$$

The following compatibility condition is almost obvious [8, 10].

**Lemma 2.1.** *A pencil of brackets (2.8) is a Poisson brackets pencil for each  $\lambda \in \mathbb{C}$  and  $J \in sl^*(2; \mathbb{C})$ , i.e. it is compatible.*

**Proof.** It is well known that the Lie derivative of a Poisson bracket is also a Poisson bracket if and only if

$$\{\gamma, \mu\}_1 := \mathfrak{L}_K\{\gamma, \mu\}_0 - \{\mathfrak{L}_K\gamma, \mu\}_0 - \{\gamma, \mathfrak{L}_K\mu\}_0 \tag{2.10}$$

satisfies the Jacobi identity for all  $\gamma, \mu \in D(\mathcal{G}^*)$ , where  $\mathfrak{L}_K$  is the Lie derivative with respect to a vector field  $K : \mathcal{G}^* \rightarrow T(\mathcal{G}^*)$ . Choosing  $K(l) := J$ , it is easy to verify that the bracket (2.10) satisfies the Jacobi identity and is the usual Poisson bracket on  $\mathcal{G}^*$ . Consequently, the Poisson bracket (2.10) is also a Poisson bracket along a generic orbit of the vector field  $dl/d\lambda = J$ , hence the deformation (2.8) is also Poisson, as was to be proved.

### 3 Casimir functionals and reduction problem

A Casimir functional  $h \in I_\lambda(\hat{\mathcal{G}}^*)$  is defined, as usual, as a functional  $h \in D(\hat{\mathcal{G}}^*)$  that is invariant with respect to the following  $\lambda$ -deformed  $Ad_{\hat{\Phi}^{-1}}^*$ -action:

$$Ad_{\hat{\Phi}^{-1}}^* : (l, 1) \in \hat{\mathcal{G}}^* \rightarrow \left( Ad_{\hat{\Phi}^{-1}}^*(l + \lambda J) - \Phi^{-1} \frac{d\Phi}{dx}, 1 \right) \tag{3.1}$$

for any  $\Phi \in G$ ,  $l \in \mathcal{G}^*$  and  $\lambda \in \mathbb{C}$ . It is easy to see from this definition that  $h \in I_\lambda(\hat{\mathcal{G}}^*)$  if the equation

$$\frac{d\nabla h(l)}{dx} = [l + \lambda J, \nabla h(l)] \tag{3.2}$$

is satisfied for all  $\lambda \in \mathbb{C}$ . Assuming further that there exists an asymptotic expansion of the form

$$h(\lambda) \sim \sum_{j \in \mathbb{Z}_+} h_j \lambda^{-j} \tag{3.3}$$

as  $|\lambda| \rightarrow \infty$ , one can readily verify that  $h_0 \in I_1(\hat{\mathcal{G}}^*)$  and that for all  $j, k \in \mathbb{Z}_+$

$$\{h_j, h_k\}_0 = 0 = \{h_j, h_k\}_1, \quad \{\gamma, h_j\}_0 = \{\gamma, h_{j+1}\}_1, \tag{3.4}$$

where  $\gamma \in D(\hat{\mathcal{G}}^*)$  is arbitrary.

Let us now consider the action (2.1) at a fixed  $l = l[u, v; z] \in \hat{\mathcal{G}}^*$ . It is easy to see that this action does not necessarily preserve the form of the element  $l$ . Thus we must reduce the initial  $\hat{G}$ -action on  $\hat{\mathcal{G}}^*$  to an appropriate subgroup; for this we develop the reduction procedure employed in [8–10].

Define the distribution

$$D_1 := \left\{ K \in T(\hat{\mathcal{G}}^*) : K(l) = [J, \nabla \gamma(l)], l \in \hat{\mathcal{G}}^*, \gamma \in D(\hat{\mathcal{G}}^*) \right\}. \tag{3.5}$$

$D_1$  is integrable, that is  $[D_1, D_1] \subset D_1$ , since the bracket  $\{\cdot, \cdot\}_1$  is Poisson. Now define another distribution

$$D_0 := \left\{ K \in T(\hat{\mathcal{G}}^*) : K(l) = \left[ l - \frac{d}{dx}, \nabla h_0 \right], h_0 \in I_1(\hat{\mathcal{G}}^*) \right\}, \quad (3.6)$$

which is clearly also integrable on  $\hat{\mathcal{G}}^*$ , since  $[D_0, D_0] \subset D_0$ . The set of maximal integral submanifolds of (3.6) generates the foliation  $\hat{\mathcal{G}}^* \setminus D_0$  whose leaves are the intersections of fixed integral submanifolds  $\hat{\mathcal{G}}_J^* \subset \hat{\mathcal{G}}^*$  of the distribution  $D_1$  passing through an element  $l[u, v; z] \in \hat{\mathcal{G}}^*$ . If the foliation  $\hat{\mathcal{G}}^* \setminus D_0$  is sufficiently smooth, one can define the quotient manifold  $\hat{\mathcal{G}}_{\text{red}}^* := \hat{\mathcal{G}}_J^* / (\hat{\mathcal{G}}_J^* \setminus D_0)$  with its associated projection mapping  $\hat{\mathcal{G}}_J^* \rightarrow \hat{\mathcal{G}}_{\text{red}}^*$ . To continue this line of reasoning, we shall obtain explicit constructions of the objects introduced.

$D_1$  is obviously generated by the vector fields

$$\frac{dl}{dt} = \begin{pmatrix} 0 & 2b \\ -2c & 0 \end{pmatrix}, \quad \nabla \gamma(l) = \begin{pmatrix} a & b \\ c & -a \end{pmatrix}, \quad (3.7)$$

where  $t$  is a complex evolution parameter and  $l \in \hat{\mathcal{G}}^*$ , where  $\hat{\mathcal{G}}_J^* \subset \hat{\mathcal{G}}^*$  is the isotropy Lie subalgebra of the element  $J \in \hat{\mathcal{G}}^*$ . Hence the integral submanifold  $\hat{\mathcal{G}}_J^*$  consists of orbits of an element  $l = l[u, v; z] \in \hat{\mathcal{G}}^*$ , with  $z \in \mathbb{C}$ , with respect to the vector fields (3.7). The distribution  $D_0$  on  $T(\hat{\mathcal{G}}^*)$  is generated by the vector fields

$$\frac{dl}{d\tau} = \begin{pmatrix} -\chi_x & -2u\chi \\ 2v\chi & \chi_x \end{pmatrix}, \quad \nabla h_0(l) = \begin{pmatrix} \chi & 0 \\ 0 & -\chi \end{pmatrix}, \quad (3.8)$$

where  $\tau$  is a complex evolution parameter and  $l = l[u, v; z] \in \hat{\mathcal{G}}^*$ .

It follows immediately from (3.8) that

$$\frac{dz}{d\tau} = -\chi_x, \quad \frac{du}{d\tau} = -2u\chi \quad \text{and} \quad \frac{dv}{d\tau} = 2v\chi \quad (3.9)$$

for all  $\tau \in \mathbb{R}$  along  $D_0$ . Eliminating the variable  $\chi$  from (3.9), we obtain

$$\frac{d}{d\tau} \left[ \frac{d}{dx}(\ln u) - 2z \right] = 0 = \frac{d}{d\tau} \left[ \frac{d}{dx}(\ln v) + 2z \right]; \quad (3.10)$$

that is, the mapping

$$\hat{\mathcal{G}}^* \ni l = \begin{pmatrix} z & u \\ v & -z \end{pmatrix} \xrightarrow{\nu} \begin{pmatrix} 0 & \exp(\partial^{-1}\alpha) \\ \exp(\partial^{-1}\beta) & 0 \end{pmatrix} \rightarrow \hat{\mathcal{G}}_{\text{red}}^*, \quad (3.11)$$

where

$$\alpha := u_x u^{-1} - 2z, \quad \beta := v_x v^{-1} + 2z, \quad (3.12)$$

explicitly determines the reduction  $\nu : \hat{\mathcal{G}}^* \rightarrow \hat{\mathcal{G}}_{\text{red}}^*$  discussed above. We are now in a position to compute the bracket (2.8) reduced upon the submanifold  $\hat{\mathcal{G}}_{\text{red}}^*$  by defining the functionals  $\lambda, \mu \in D(\hat{\mathcal{G}}^*)$  to be constant along the distribution  $D_0$ , that is

$$\gamma := \tilde{\gamma} \circ \nu, \quad \mu := \tilde{\mu} \circ \nu, \quad (3.13)$$

for any  $\tilde{\gamma}, \tilde{\mu} \in D(\hat{\mathcal{G}}_{\text{red}}^*)$ . From (3.12) one readily obtains the expressions

$$\begin{aligned} \nabla\gamma(l)|_{l \in \hat{\mathcal{G}}_{\text{red}}^*} &= \begin{pmatrix} \frac{\delta\tilde{\gamma}}{\delta\beta} - \frac{\delta\tilde{\gamma}}{\delta\alpha} & -\frac{1}{v} \left( \frac{\delta\tilde{\gamma}}{\delta\beta} \right)_x \\ -\frac{1}{u} \left( \frac{\delta\tilde{\gamma}}{\delta\alpha} \right)_x & \frac{\delta\tilde{\gamma}}{\delta\alpha} - \frac{\delta\tilde{\gamma}}{\delta\beta} \end{pmatrix}, \\ \nabla\mu(l)|_{l \in \hat{\mathcal{G}}_{\text{red}}^*} &= \begin{pmatrix} \frac{\delta\tilde{\mu}}{\delta\beta} - \frac{\delta\tilde{\mu}}{\delta\alpha} & -\frac{1}{v} \left( \frac{\delta\tilde{\mu}}{\delta\beta} \right)_x \\ -\frac{1}{u} \left( \frac{\delta\tilde{\mu}}{\delta\alpha} \right)_x & \frac{\delta\tilde{\mu}}{\delta\alpha} - \frac{\delta\tilde{\mu}}{\delta\beta} \end{pmatrix}, \end{aligned} \quad (3.14)$$

which satisfy the desired identities

$$(\nabla\gamma(l), dl/d\tau) = 0 = (\nabla\mu(l), dl/d\tau) \quad (3.15)$$

for all  $l \in \hat{\mathcal{G}}_{\text{red}}^* \subset \hat{\mathcal{G}}^*$ . Substituting now (3.14) into (2.8), we obtain

$$\{\tilde{\gamma}, \tilde{\mu}\}_\lambda := \{\gamma, \mu\}_\lambda |_{l \in \hat{\mathcal{G}}_{\text{red}}^*} = (\nabla\tilde{\gamma}, (\eta + \lambda\theta)\nabla\tilde{\mu}), \quad (3.16)$$

where we have used the obvious relationship

$$\{\tilde{\gamma}, \tilde{\mu}\}_\lambda \circ \nu = \{\tilde{\gamma} \circ \nu, \tilde{\mu} \circ \nu\}_\lambda, \quad (3.17)$$

and where

$$\begin{aligned} \eta &:= \begin{pmatrix} 2\partial & & & \\ -\partial \exp[-\partial^{-1}(\alpha + \beta)]\partial^2 - 2\partial - \partial \cdot \alpha \exp[-\partial^{-1}(\alpha + \beta)] \cdot \partial & & & \\ & -\partial \exp[-\partial^{-1}(\alpha + \beta)]\partial^2 - 2\partial - \partial \cdot \beta \exp[-\partial^{-1}(\alpha + \beta)] \cdot \partial & & \\ & & 2\partial & \end{pmatrix}, \\ \theta &:= \begin{pmatrix} 0 & 2\partial \exp[-\partial^{-1}(\alpha + \beta)]\partial & & \\ -2\partial \exp[-\partial^{-1}(\alpha\beta)]\partial & & 0 & \end{pmatrix}. \end{aligned} \quad (3.18)$$

It is straightforward to verify that these integro-differential, implectic (=co-symplectic=Poisson) operators are compatible [4] (see also [11] for a general theory of iso-symplectic structures on functional manifolds) on the reduced submanifold  $\hat{\mathcal{G}}_{\text{red}}^*$  and define a bi-Hamiltonian structure on it.

## 4 Diff( $\mathcal{S}^1$ ) action, associated momentum mapping and versal deformations

Let us introduce some additional notation concerning versal deformations [1, 7]. By a deformation of the operator (1.1) we shall mean an operator of the same form with a matrix  $l_\lambda(\epsilon)$  whose entries are analytic in  $\epsilon$  in a neighborhood of  $\epsilon = 0$  in  $\mathbb{C}^n$  and satisfies  $l_\lambda(0) = l_\lambda$  for all  $\lambda \in \mathbb{C}$ . The coordinates  $\epsilon_i \in \mathbb{C}$ ,  $1 \leq i \leq n$ , of  $\epsilon$  are called the deformation parameters and the space of these parameters is called the base of the deformation.

Two deformations  $l'_\lambda(\epsilon)$  and  $l''_\lambda(\epsilon)$  of a matrix  $l_\lambda$  will be called equivalent if there exists a deformation  $A^*(\phi_\epsilon) : l'_\lambda(\epsilon) \rightarrow l''_\lambda(\epsilon)$  generated by a diffeomorphism  $\phi_\epsilon \in \text{Diff}(S^1)$  satisfying  $\phi_\epsilon|_{\epsilon=0} = \text{id}$ .

From a given deformation  $l_\lambda(\epsilon)$  one can obtain a new deformation  $\tilde{l}_\lambda(\tilde{\epsilon})$  by setting  $\tilde{l}_\lambda(\tilde{\epsilon}) := l_\lambda(\epsilon(\tilde{\epsilon}))$ , where  $\epsilon : \mathbb{C}^m \rightarrow \mathbb{C}^n$  is an analytic mapping in a neighborhood of  $\tilde{\epsilon} = 0$  in  $\mathbb{C}^m$  and satisfies the condition  $\epsilon(0) = 0$ . The deformation  $\tilde{l}_\lambda(\tilde{\epsilon})$  is said to be induced from  $l_\lambda(\epsilon)$  by the mapping  $\epsilon : \mathbb{C}^m \rightarrow \mathbb{C}^n$ .

A deformation  $l_\lambda(\epsilon)$ ,  $\epsilon \in \mathbb{C}^n$ , is called versal if every one of its deformations  $l_\lambda(\tilde{\epsilon})$ ,  $\tilde{\epsilon} \in \mathbb{C}^m$ , is equivalent to a deformation induced from it. A versal deformation is said to be universal if the induced deformation described in the definition of versality is unique.

Before we give a definition of a transversal deformation for the induced group  $\hat{\mathcal{G}}_{\text{red}}$  orbits, let us consider a family of smooth induced transformations  $\phi_\sigma(x) \in \hat{\mathcal{G}}_{\text{red}}$ ,  $\sigma \in \mathbb{R}$ , where  $\phi_\sigma(x) = 1 + O(\sigma)$  as  $\sigma \rightarrow 0$ . Each such transformation generates (via formula (1.5)) a new matrix  $l_\lambda(\sigma)$ ,  $\sigma \rightarrow 0$ , that obviously belongs to the orbit space associated to the  $\hat{\mathcal{G}}_{\text{red}}$  action. The set of matrices

$$\left. \frac{dl_\lambda(\sigma)}{d\sigma} \right|_{\sigma=0} \in \hat{\mathcal{G}}_{\text{red}}^* \quad (4.1)$$

spans a linear subspace  $\hat{V}_\lambda \subset \hat{\mathcal{G}}_{\text{red}}^*$  of finite codimension. Consider an arbitrary deformation  $l_\lambda(\epsilon)$ ,  $\epsilon \in \mathbb{C}^n$ , of a given matrix  $l_\lambda \in \hat{\mathcal{G}}_{\text{red}}^*$  and denote by  $\hat{E}_\lambda$  the linear span in  $\hat{\mathcal{G}}_{\text{red}}^*$  over the matrices  $\partial l_\lambda(\epsilon)/\partial \epsilon_i|_{\epsilon=0}$ ,  $1 \leq i \leq n$ . The above deformation is said to be transverse to the induced  $\hat{\mathcal{G}}_{\text{red}}$  orbit if the subspaces  $\hat{E}_\lambda$  and  $\hat{V}_\lambda$  together span their ambient space, that is

$$\hat{E}_\lambda + \hat{V}_\lambda = \hat{\mathcal{G}}_{\text{red}}^*. \quad (4.2)$$

The following general theorem [1] holds for versal deformations of the Dirac operator (1.1).

**Theorem 4.1.** *A deformation  $l_\lambda(\epsilon)$ ,  $\epsilon \in \mathbb{C}^n$ , is versal if and only if it is transverse to the induced group  $\hat{\mathcal{G}}$  orbit.*

This theorem can be proved by applying standard perturbation theory techniques to the Dirac type operator (1.1).

We are now ready to make use of the results of Section 3 to describe the spaces  $\hat{E}_\lambda$  and  $\hat{V}_\lambda$  analytically. Let  $\tilde{\gamma} \in D(\hat{\mathcal{G}}_{\text{red}}^*)$  be any smooth functional on  $\hat{\mathcal{G}}_{\text{red}}^*$ ; it generates a flow on the loop group  $\hat{\mathcal{G}}_{\text{red}}$  orbit via the  $(\sigma, x)$ -evolutions

$$\frac{dl}{d\sigma} := \{\tilde{\gamma}, l\}_\lambda, \quad \frac{dl}{dx} := \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} l \quad (4.3)$$

with respect to the Poisson bracket (3.16). In view of (4.3), (3.16) implies that the subspace  $\hat{V}_\lambda$  is isomorphic to the following subspace of vector functions in  $T^*(M)$ :

$$V_\lambda := \{\Lambda_\lambda \psi := (\eta + \lambda\theta)\psi : \nabla \tilde{\gamma} = \psi \in T^*(M)\}. \quad (4.4)$$

Theorem 4.1 suggests the following construction of versal deformations for the Dirac type operator (1.1): As  $\Lambda_\lambda$  is skew-symmetric, the operator  $i\Lambda_\lambda$  is formally selfadjoint in the space  $L_2(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C}^2)$ . Therefore, the orthogonal complement to the subspace  $V_\lambda$  with respect to the natural scalar product in  $L_2(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C}^2)$  consists of  $2\pi$ -periodic solutions to the equation

$$\Lambda_\lambda \psi = 0. \quad (4.5)$$

Whence we have the following characterization of versal deformations of the operator (1.1).

**Theorem 4.2.** *The prolongation of the matrix  $l_\lambda \in \hat{\mathcal{G}}_{red}^*$  defined as*

$$\bar{l}_\lambda(\epsilon) := \begin{pmatrix} \lambda & \exp(\partial^{-1}\beta) \\ \exp(\partial^{-1}\alpha) & -\lambda \end{pmatrix} + \sum_{i,j=1}^2 \epsilon_{ij} \bar{f}_i \otimes \bar{f}_j \tag{4.6}$$

generates a versal deformation of the Dirac type operator (1.1). Here  $\otimes$  is the usual Kronecker tensor product in  $\mathbb{C}^2$ ,  $\epsilon_{ij} \in \mathbb{C}$ ,  $1 \leq i, j \leq 2$ ,  $\epsilon_{12} = -\epsilon_{21}$  are any deformation constants, and  $\bar{f}_i \in W_2^{(1)}(\mathbb{R}/2\pi\mathbb{Z}; \mathbb{C}^2)$ ,  $i = 1, 2$ , are two linearly independent, normalized solutions to the Dirac equations

$$\frac{d\bar{f}_i}{dx} + \bar{l}_\lambda \bar{f}_i = 0, \quad \|\bar{f}_i, \bar{f}_j\|_{x=0} = 1, \tag{4.7}$$

with spectral parameter  $\lambda \in \mathbb{C}$ .

**Proof.** It is easy to verify that the set of solutions to equation (4.5) is isomorphic to the set of functions

$$\hat{\psi} = \sum_{i,j=1}^2 \epsilon_{ij} \bar{f}_i \otimes \bar{f}_j,$$

and these functions satisfy the canonical Casimir equation

$$[l_\lambda, \hat{\psi}] - \frac{d\hat{\psi}}{dx} = 0, \tag{4.8}$$

which is equivalent to equation (4.5). Owing to the fact that any matrix  $l_\lambda \in \hat{\mathcal{G}}^*$  in (1.1) can be transformed into the expression  $\bar{l}_\lambda(0) \in \hat{\mathcal{G}}^*$  with functional parameters  $\alpha, \beta$  given by (3.12), this leads to the general form (4.6) for versal deformations of (1.1). This ends the proof.

### Acknowledgment

A. Prykarpatsky is grateful to the Dept. of Applied Mathematics at AGH for its support of this work through an AGH research grant. D. Blackmore would like to express his gratitude to the Courant Institute of Mathematical Sciences for the hospitality extended to him as a visiting member during the time when this research was conducted.

### References

- [1] Arnold V.I., On Matrices Depending on Parameters, *Russian Math. Surveys*, 1968, V.26, 29–44.
- [2] Arnold V.I., Gusein-Zade S.M. and Varchenko A.N., *Singularities of Differentiable Maps I*, Birkhäuser, 1985.
- [3] Abraham R. and Marsden J., *Foundations of Mechanics*, 2<sup>nd</sup> edition, Addison Wesley, 1978.
- [4] Fokas A.S. and Fuchssteiner B., Symplectic Structures, their Bäcklund Transformations and Hereditary Symmetries, *Physica D*, 1981, V.4, 47–66.

- 
- [5] Gibson C.G., Wirthmuller K., du Plessis A.A. and Looijenga J.N., Topological Stability of Smooth Mappings, *Lecture Notes in Math.*, Springer-Verlag, V. 552, 1976.
  - [6] Kirillov A.N., Infinite-Dimensional Lie Groups: their Orbits, Invariants and Representations: the Geometry of Moments, *Lecture Notes in Math.*, 1982, V.970, 101–123.
  - [7] Lazutkin V.F. and Pankratova T.F., Normal Forms and Versal Deformations for the Hill Equation, *Func. Anal. Appl.*, 1975, V.9, 41–48 (in Russian).
  - [8] Magri F., *Acta Applicandea Mathematica*, 1995, V.41, 247–270.
  - [9] Prykarpatsky A.K., Zagrodzinski J.A. and Blackmore D., Lax Type Flows on Grassmann Manifolds, Proc. 29th Sympos. on Math. Phys., Dec. 3–6, 1996, Toruń, Poland.
  - [10] Reyman A. and Semenov-Tian Shansky A., A Set of Hamiltonian Structures, a Hierarchy of Hamiltonians and Reduction for First Order Matrix Differential Operators, *Func. Anal. Appl.*, 1990, V.14, 77–78 (in Russian).
  - [11] Santilli R.M., *Rendiconti Circolo Matematico Palermo, Suppl.*, 1987, V.42, 7–87.