

A Conceptual Framework for the Acceptance of Drones

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Abstract

The literature on the public acceptance of unmanned aircraft, aka drones, is scarce and undeveloped. This is evident in the absence of theoretical and methodological substantiality in existing research. This research paper suggests a conceptual model for predicting the public acceptance of unmanned aircraft. This was accomplished by systematically reviewing previous literature on the public acceptance of genetically modified food and nuclear energy. Results of the review revealed that behavioral models such as TRA and TAM, when include concepts from risk theory, can provide the desired model. The model consists of five constructs namely: intention to purchase/use, attitudes towards using, perceived benefit, perceived risk, and perceived control. Future research is required to further develop the proposed model relevant for the context of drones' acceptance.

Keywords: public acceptance, civil drones, unmanned aircraft, conceptual framework, systematic review.

1. Introduction

Amidst successive economic crises, represented in job and business losses, civil drones* hold the promise of boosting the economy, as recent reports claim. Economic effects are tangible and the industry is forecasted to generate tremendous revenues and jobs. In the United States and the European Union, there are predictions that the industry will generate between \$80-\$100 billion dollars and thousands of jobs over a course of a decade or so (AUVSI 2017; EU Business 2014; Jenkins and Vasigh 2013; Mills 2016).

In addition, UAS technology is on the verge of being integrated into hundreds of commercial and civil applications (Chamata 2017; LeMieux 2014), and will soon replace alternative technologies, i.e. helicopters, in various

applications (Sebbane 2012). Recent advances have shown that UAS are extremely useful in benevolent activities such as search and rescue (Molina et al. 2012), disaster management (Murphy et al. 2008), and ambulancing (Daily Mail 2014).

Nonetheless, several sociotechnical issues are instigating public outrage towards the technology suspected to cause a delay in UAS adoption (Clarke 2014a,b,c; Chamata 2016). And, whereas, the literature broadly discusses these issues, it rarely [and insufficiently] studies factors which influence public acceptance, i.e. Clothier et al., 2015. Several non-academic reports exist however are not based on theory and employ inappropriate research methods yielding merely descriptive results (Bracken-

* Alternative terms: unmanned aerial systems (UAS), unmanned aerial vehicles (UAV), remotely piloted aircraft (RPA), unmanned aircraft ... etc.

Roche et al. 2014; Eyerman et al. 2013; Macsween-George 2003; Murray 2012).

Thus, we are before a need to employ a theory or model for predicting public acceptance of unmanned aircraft. However, technology acceptance theory, e.g. Davis' TAM, is being widely criticized in the literature for being employed excessively and superficially in research (Bagozzi 2007; Silva 2007; Hwang et al. 2015). Researchers, as Bagozzi (2007) describes, are failing to add substantial meaning to existing theory. In addition, technology acceptance theory is mainly employed in IS-related research and in organizational setting, and does not discuss risk as an influential factor which is strongly present in the UAS literature. Therefore, the authors respond to these enquiries and pursue the development of a new model relevant for predicting the public acceptance of unmanned aircraft.

In identifying the initial traits of the desired model, this research will rely on performing a systematic review of the literature on the public acceptance of genetically modified food [GMF] and nuclear energy. GMF and nuclear energy have long been known for creating safety and security concerns, similar to the aforementioned concerns associated with drones. However, public concerns about privacy remain drone-specific.

The review will scrutinize the theories, concepts, and relationships, employed in previous research. Based on the apparent themes and patterns, a model for the acceptance of unmanned aircraft will be proposed. Our choice of these technologies is due to the extensive research done on the public acceptance in both contexts, and because they have been controversial as is the case for unmanned aircraft.

2. Methods

A systematic review of research on the public acceptance of GMF and nuclear energy was

conducted following the PRISMA guidelines which include the identification of: information sources, search criteria, and study selection criteria (Liberati et al. 2009). PRISMA protocols are well supported in the literature and employed in several similar studies (Cameron et al. 2014; Khong et al. 2015; Pidgeon et al. 2015).

2.1 Information Sources

Studies were searched over several electronic databases such as those of Curtin University, Web of Science, and Scopus. Nonetheless, Scopus was excluded later because it returned unreasonable numbers of journal articles [e.g. 7000+] which were irrelevant to the search criteria described below. Thus, the search continued with the two other databases. Occasionally, when full-text articles were not available on Curtin University and Web of Science databases, other sources were sought [e.g. Google Scholar].

2.2 Search Criteria

The search targeted relevant material published between years 2000 and 2017 inclusive. An initial search of the aforementioned databases enquired the keywords 'public acceptance' and 'public perception'; which yielded the same search results. Therefore, only one keyword was retained, 'public acceptance'. Then filters were applied to search for keywords in titles of articles only. Search on both Curtin University and Web of Science databases yielded 328 and 180 articles, successively. Before download, articles were screened to ensure that they were written in English and that the titles included terms related to GMF and nuclear energy. Only articles abiding by these criteria were downloaded yielding 64 articles evenly divided between public acceptance of GMF and nuclear energy. Subsequently, duplicate articles were removed and only 53 papers were qualified for the study selection phase.

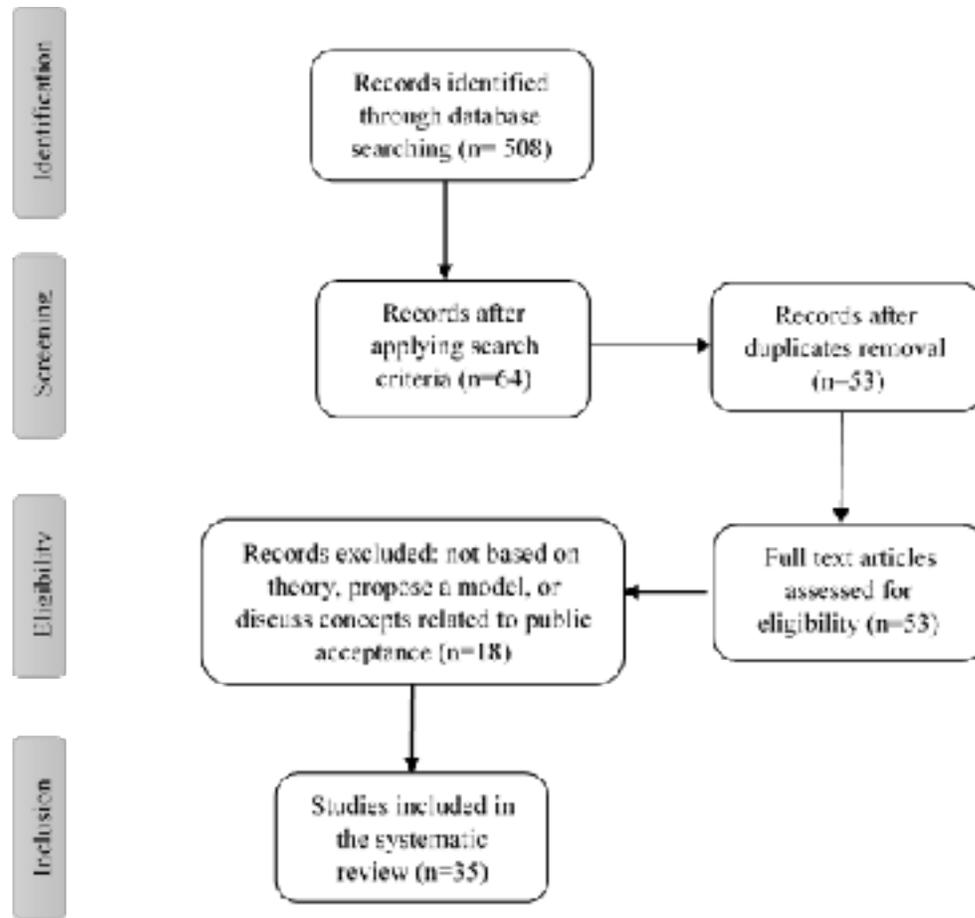


Fig. 1: PRISMA procedures – Adapted from Liberati et al. 2009

2.3 Study Selection

Eligibility criteria for the selection of relevant journal articles were applied over four stages. Initially, articles were divided into categories based on the subject: genetically modified foods and nuclear energy. Studies which met the following criteria, were considered eligible: that the study is based on theory, proposes a model, OR discusses concepts, related to public acceptance. Once these criteria were met, a study would be examined for the identification of proposed hypotheses. Research which was supported by theory, proposed concepts, or which hypothesized

relationships, was retained for its relevance to the aim of this systematic review of developing a model of UAS technology acceptance. Study selection criteria, thus, resulted in the exclusion of 18 articles, and only 35 articles were included in the systematic review.

3. Findings

3.1 Theories and Frameworks Underpinning Research

Articles which employed existing theory or proposed frameworks were twenty-one. Both the theories and models proposed constructs commonly employed in technology acceptance

research. Most employed was risk theory, thirteen studies either studied the influence of perceived risk on public acceptance (Chao-Jun et al. 2013; Costa-Font 2013; Frewer et al. 2004; Jenkins-Smith et al. 2011; Liu et al. 2008; Siegrist et al. 2007; Tsujikawa et al. 2016; Veeman et al. 2005; Visschers and Siegrist 2013; Yang et al. 2013), or proposed external variables which influence perceived risk (Costa-Font and Gil 2012; Siegrist 2000; Siegrist et al. 2008). Risk theory is prominent in investigating public acceptance, and relies on perceived risk as a sole indicator (Bettman 1973; Fischhoff et al. 1978).

Fishbein's attitude model, later developed to become the theory of reasoned action [TRA], was employed in four studies which either aimed at extending the attitude model (Costa-Font et al. 2008; Costa-Font and Gil 2009), or predicting public acceptance (Costa-Font and Gil 2012; Moon and Balasubramanian 2001). The attitude model proposes that attitudes towards using a technology or product are the result of summed and unseparated beliefs (Ajzen and Fishbein 1969). Fishbein's model is advantageous, compared to risk theory, as it gives the opportunity of investigating several beliefs as determinants of attitudes [e.g. acceptance] rather than only perceived risk.

The discrete choice model, developed by Thurstone and Marschak, was used in two articles investigating public attitudes towards the use of specific applications (Hossain et al. 2003) and the general intention to use a technology (Onyango and Schilling 2004). The

discrete choice model is based on a dichotomous choice; the consumer is given the option to choose between two options only. For instance, they are enquired to show preference of a technology [e.g. UAS vs. Helicopter] or a response [e.g. Yes/No] over another. Unlike risk theory, the discrete choice model was shown to be flexible to integrating additional concepts or determinants of technology acceptance.

The dual process theory proposes two paths of probing public acceptance: the first is systematic and proposes that highly motivated consumers will cognitively analyze the benefits associated with [a technology] and then decide whether to accept or reject it. The second path is heuristic, and proposes that consumers with low motivation, to accept a product, are more likely to depend on government or expert opinion to make decisions (Chaiken et al. 1989). This theory, usually employed in political science, was used in Huang et al.'s (2010) research which studies several factors that influence public perception.

Truelove and Greenberg (2013) employed the theory of behavior change to investigate the factors influencing the public acceptance of nuclear power. The theory of behavior change proposes that the cognitive assessment of a technology relies on a consciousness raising process. The theory states that people at a pre-consciousness stage are usually unwilling to use a technology, whereas those who reached consciousness consider using it in the future (Prochaska et al. 2008). This agrees with Yankelovic (1991) who proposes that public

acceptance is achieved over three stages: consciousness raising, establishing debates, and judgment.

Fishbein's attitude model, though ranking second in frequency of use, is found relevant to the purpose of this research because it provides a broader explanation of technology acceptance. Nonetheless, a merge of risk theory to the attitude model would be beneficial as it provides bases for the risk component often addressed in UAS literature. Thus, in this research, the theory of reasoned action and risk theory will be considered as theoretical foundations. The remaining theories are not selected because they are deemed irrelevant to the context of this research.

3.2 Summary of Significant Concepts and Relationships

Several concepts were employed in the theories and frameworks of the reviewed studies. That is in addition to various categories of external or situational variables. Nonetheless, the pursue of core concepts which have a direct influence on public acceptance was prioritized because: (1) the focus of the research is to explore the direct antecedents of technology acceptance, and (2) the influence of external variables is usually mediated by beliefs and add too little to the model's predictive power (Hwang et al. 2015; Legris et al. 2003). Yet, the influence of external variables is presented herein. Core concepts which were more frequently employed in research on GMF and nuclear energy are: perceived risk, perceived benefit, perceived control, attitudes towards using and the intention to use/purchase a technology.

Combining perceived risk and perceived benefit was the major theme; they were employed together in 23 articles. Both beliefs were related to knowledge and/or trust as influential variables in 13 studies (Costa-Font et al. 2008; Costa-Font and Gil 2009, 2012; Truelove and Greenberg 2013; Hossain et al. 2002; Hossain et al. 2003; Huang et al. 2010; Lucht 2015; Magnusson and Hursti 2002; Moon and Balasubramanian 2001; Rollin et al. 2011; Siegrist 2000, 2008; Siegrist et al. 2007; Siegrist et al. 2008; Visschers and Siegrist 2013; Yang et al. 2013). Less frequently, these studies integrated variables such as information availability, safety attitudes, enthusiasm about the technology, willingness to learn, product characteristics, norms, and values. The direct influence of knowledge on attitudes was addressed once (Visschers and Wallquist 2013).

The influence of sociodemographic variables on the core beliefs was also studied in several occasions. For instance, age, gender, education, income, and political views were hypothesized to influence a person's beliefs about both genetically modified foods and nuclear power (Costa-Font and Gil 2012; Hossain et al. 2002; Jenkins-Smith et al. 2011; Onyango et al. 2004; Rollin et al. 2011; Truelove and Greenberg 2013). Direct effects were considered on perceived risk (Yang et al. 2013; Jenkins-Smith et al. 2011) and attitudes (Kim et al. 2013), respectively. In two studies, the influence on perceived risk/benefit/control (Siegrist et al. 2008); and on perceived risk and

perceived control (Veeman et al. 2005), was considered.

In 17 out of the 23 studies, the influence of perceived risk and perceived benefit towards attitudes was considered. Additionally, in 3 studies (Costa-Font et al. 2008; Costa-Font and Gil 2009; Frewer et al. 2013), the mediating effect of attitudes, between perceived risk/benefit and intention, was hypothesized. The direct relationship between perceived risk/benefit and intention was addressed in one study (Siegrist et al. 2007). It is noteworthy that the influence of perceived control, along with perceived risk/benefit, on attitudes, was considered once only (Magnusson and Koivisto Hursti 2002).

Less frequently, perceived risk and perceived benefit were considered separately. Perceived risk had an influence on attitudes (Frewer et al. 2004; Onyango and Schilling 2004; Costa-Font 2013), whereas attitudes mediated the influence of perceived risk, in several studies (Basaran et al. 2004; Sun et al. 2016]. The influence of perceived benefit on attitudes was studied once (Costa-Font and Gil 2012).

4. Discussion

From the above, it is evident that concepts are related as follows: sociodemographic, political, and psychological, external variables have influence on three main beliefs; perceived risk, perceived benefit, and perceived control. The beliefs, in turn, have a direct influence on attitudes which mediate their effect on intention; whereas, only perceived risk and benefit are

directly associated with intention. Thus, the model may be depicted as in Fig.2 below.

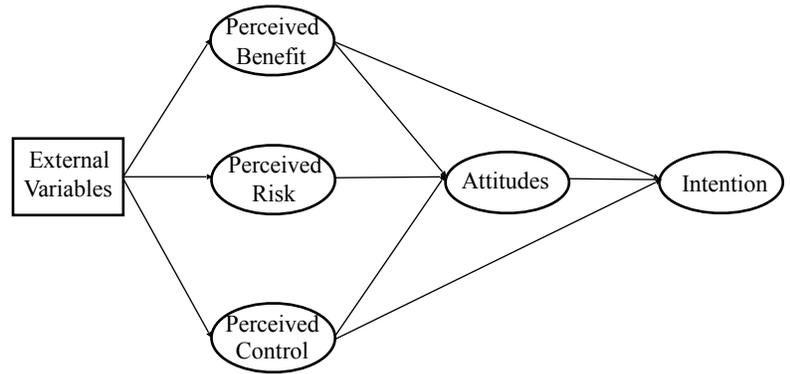


Fig. 2: Model extracted from the review

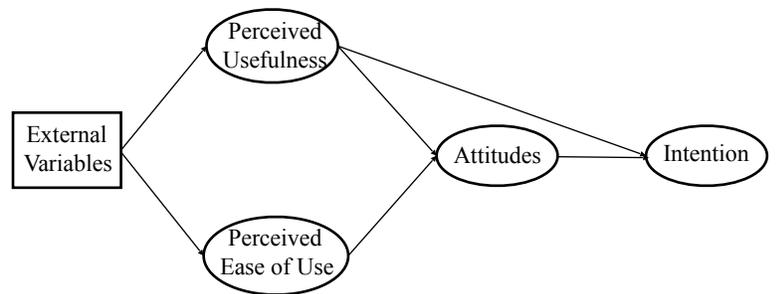


Fig. 3: Technology Acceptance Model – Adapted from Davis et al. (1989)

From a structural view, the inductive model resembles Davis et al.'s (1989) technology acceptance model (TAM) [compare Figs. 2 & 3]. The resemblance is not surprising, as also TAM was based on the theory of reasoned action. However, the inclusion of perceived risk is novel and was rarely introduced in previous TAM studies. One must also note that several conceptual differences may exist between the inductive model and TAM. For instance, perceived control in the public context does not reflect self-efficacy as proposed by TAM, at this early stage of UAS adoption, as it is assumed that respondents had no experience flying drones. Instead it reflects the concept of controllability (control over use) as per several prominent authors (Bandura and Wood 1989; Fischhoff et al. 1978; Otway and Von Winterfeldt 1982). As well, whereas perceived usefulness in TAM is concerned with the benefits information systems provide to users, herein drones rather represent several [personal and national] socioeconomic benefits. Whereas, the concept of attitudes towards using may be similar to previous conceptualizations, purchasing intention should be defined differently to provide a broader coverage of people's intent.

The concepts extracted from the systematic review are relevant to topics discussed in UAS literature. For instance, concepts of perceived benefit, perceived risk and perceived control are discussed as influential factors of the public acceptance of drones (Cavoukian 2012; Clothier et al. 2015). Similarly, willingness [or intention] to use UAS was addressed in the

context of transportation (Macswen-George 2003; Tam 2011). Thus, the inductive model is relevant to address UAS acceptance from various facets. Such relevance to contemporary issues in research on unmanned aircraft has several implications on the literature. For instance, introducing the concept of perceived benefit in a more salient manner promotes UAS technology as having the potential of being useful rather the current research more often discussing the risks associated with it (Clarke 2014a,b,c; Elias 2012). Similarly, perceived control was rarely explicitly discussed in the literature, except in the form of alerting the public (through SMS and banners) prior to UAS flights in nearby airspace (Cavoukian 2012). Introducing the perceived control concept sheds the light on its importance in alleviating public concerns (e.g. perceived risk), and should instigate more focus on its study in future research. Furthermore, proposing these concepts provides a broader interpretation of the public acceptance of drones, rather than the existing research focusing on perceived risk as a sole determinant (Clothier et al. 2015).

5. Conclusion

This research was based on the need of literature for a theory or model capable of explaining the public acceptance of drones. Current research mainly discusses public concerns or the operation and regulations of drones, however, it does not constitute any theoretical substantiality. The authors of this research paper was motivated to develop a

theory or model. By means of systematic review, the authors explored the literature on the public acceptance of genetically modified foods and nuclear energy, for being controversial technologies as unmanned aircraft are considered today. The systematic review revealed that five core constructs may be capable of predicting the public acceptance of drones. The constructs accurately express current debates in UAS literature which include public concerns [e.g. perceived risk], advantages of the technology [e.g. benefits] (Clarke 2014c; Clothier et al. 2015), control over use in nearby airspace (Cavoukian 2012), public attitudes (Clothier et al. 2015), and intention to use (Macswen-George 2003; Tam 2011).

5.1 Research Implications

This study establishes for future research movement similar to that initiated after the rise of the technology acceptance model (TAM) in the 1980s. The UAS literature is in imperative need for development, and a huge gap exists between the efforts exerted by the industry and the academic research movement. This research paper contributes to the academic effort that should be done in this field, and to building the body of knowledge. The study is also the first which proposes a conceptual framework for predicting the public acceptance of drones, which encourages for further cumulative theoretical and empirical work to further understand public acceptance in this context. The research also answers urgent academic enquiry (Bagozzi 2007; Hwang et al. 2015; Silva 2007) for diverting from the TAM

paradigm. Over four decades, researchers have not done any significant conceptualization to technology acceptance theory, but were stuck in merely assessing the influence of several external variables (Bagozzi 2007; Hwang et al. 2015). Such effort allows for integrating additional concepts to the model in the future, in accordance with emergent issues in the literature.

5.2 Research Limitations and Future Directions

Like any research, this paper is not left without limitations. The current paper merely explored the literature in pursue of a conceptual model, however the proposed model is not fully developed yet. Future research may further adapt the proposed concepts to the drones' context. Additionally, the research did not discuss in detail the influence of external variables, which may be useful in obtaining information about how certain sociodemographic variables, for example, may moderate public attitudes or purchasing intention. Such information is useful for marketing drones as it reflects the geographical and personal preferences of potential users.

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