

An approximate deep hole algorithm based on dual HKZ-bases of lattices

Wen-Wen Wang and Ke-Wei Lv[†]

*State Key Laboratory of Information Security,
Institute of Information Engineering,
Data Assurance Communication Security Research Center ,
Chinese Academy of Sciences, Beijing 100093,
China .University of Chinese Academy Sciences,
Beijing 100049, China. E-mail: wangwenwen@iie.ac.cn*

We present a deterministic algorithm in time $2^{O(n)}$ on input a dual HKZ-basis of a lattice of rank n to find a point whose distance from $\mathbf{0}$ is at least $\frac{1}{2}$, where $\frac{1}{2}$ is an integer, n is the input size, and $\frac{1}{2}$ is covering radius of Λ . This provides a method to approximately find a deep hole. Furthermore, we study the relation between the covering radius and successive minima in any norms which extends Haviv's result to ℓ_p .

Keywords: lattice; Covering Radius; dual HKZ-bases; successive minima.

1. Introduction

The Covering Radius Problem (CRP) is an important lattice problem. Computing the covering radius of a lattice is a classic problem in the geometry of numbers. In 2004, Micciancio [1] showed that finding collision of some hash function can be reduced to approximate Covering Radius Problem of lattice. Guruswami, Micciancio, and Regev [2] initiated the study for computation complexity of the CRP, and showed that CRP lies in AM, CRP lies in P . Peikert [3] showed that CRP lies in coNP in the ℓ_p norm for $p \geq 1$. The first hardness result of CRP was presented by Haviv and Regev, they obtained there exists some constant c such that the problem is NP-hard in the ℓ_p norm for any sufficiently large value of p [4]. In 2013, Micciancio and Voulgaris [5] gave a deterministic time algorithm to solve all the important lattice problems in NP including the Shortest Vector Problem (SVP) [6] and the Closest Vector Problem (CVP). Then, using a randomized polynomial time reduction from CVP to CRP in [2], can be approximately solved in single exponential time. Using the algorithm for Voronoi cell in [5], we can compute the exact value of the covering radius by enumerating all the vertices of the Voronoi cell and selecting the longest. In 2015, Haviv [7] proposed the Remote Set Problem (RSP) on lattices and proved that the relations between the covering radius and the n th successive minimum in ℓ_p norms for $p \geq 1$.

Kannan[8] computed a shortest vector in lattice within the time basing on HKZ-bases. Blömer[9] solved CVP in time basing on dual HKZ-bases.

A point in the span of a lattice at distance the covering radius from the lattice is called deep hole. How to find an approximate deep hole is an interesting problem. In this paper, we will use the algorithm closest vector based on dual HKZ-bases of lattice to solve the problem. From[2], we have a construction to find a point quite far from a lattice which is the linear combinations of basis vectors with coefficient in $[-1/2, 1/2]$. By this construction, we knew that there exists at least one point quite far from a lattice. We will give a new algorithm to find the point. The algorithm that we give is to find a point in time whose distance from \mathbf{v} is at least $\frac{1}{2} \|\mathbf{v}\|$, where n is the rank of the lattice. Indeed, basing on the construction of [2], we can have target vectors. Using the algorithm for CVP based on a dual HKZ-basis, for each target vector, we can find a lattice vector closest to it. Then, we can obtain a maximum distance that must be at least $\frac{1}{2} \|\mathbf{v}\|$. The relation of CRP and other lattice problem have known is very little. We use the triangle inequality of norms and Hölder's Inequality to get the connection between the covering radius and the successive minima in any l_p norm.

2. Preliminaries

A lattice of rank n is the set of all linear combinations generated by n linearly independent vectors in \mathbb{R}^n . The i th minimum of Λ is the smallest value λ_i such that contains i linearly independent lattice vectors.

Definition 2.1.(Covering Radius). For every Λ , the covering radius is defined as the maximum distance from Λ to a point in \mathbb{R}^n . For $p=2$, we set $\rho_2(\Lambda) = \max_{\mathbf{x} \in \mathbb{R}^n} \min_{\mathbf{y} \in \Lambda} \|\mathbf{x} - \mathbf{y}\|_2$.

Lemma 2.2.([2]). For every Λ , any basis $\mathbf{b}_1, \dots, \mathbf{b}_n$ and an integer k , there exists a point \mathbf{v} such that $\|\mathbf{v} - \sum_{i=1}^n c_i \mathbf{b}_i\|_2 \leq \frac{1}{2} \|\mathbf{b}_i\|_2$ for all i , and $\|\mathbf{v}\|_2 \geq \frac{1}{2} \|\mathbf{b}_k\|_2$.

For a lattice Λ with basis $\mathbf{b}_1, \dots, \mathbf{b}_n$, its dual lattice Λ^* is satisfying

$$\mathbf{b}_i^* \cdot \mathbf{b}_j = \delta_{ij} \quad (1)$$

then $\mathbf{b}_1^*, \dots, \mathbf{b}_n^*$ is a basis of Λ^* called a dual basis of Λ . For a basis of lattice Λ , we define their Gram-Schmidt orthogonalized vector \mathbf{b}_i^{\perp} by $\mathbf{b}_i^{\perp} = \mathbf{b}_i - \sum_{j=1}^{i-1} \frac{\mathbf{b}_i \cdot \mathbf{b}_j^{\perp}}{\|\mathbf{b}_j^{\perp}\|^2} \mathbf{b}_j^{\perp}$, wherefor $\mathbf{b}_1^{\perp} = \mathbf{b}_1$. For a lattice Λ , we define projection operations from \mathbb{R}^n onto Λ by $\Pi_{\Lambda}(\mathbf{x}) = \sum_{i=1}^n \frac{\mathbf{x} \cdot \mathbf{b}_i^{\perp}}{\|\mathbf{b}_i^{\perp}\|^2} \mathbf{b}_i^{\perp}$. In particular, $\Pi_{\Lambda}(\mathbf{x})$ is a lattice vector of rank n .

Definition 2.3.A basis $\mathbf{b}_1, \dots, \mathbf{b}_n$ of a lattice Λ is called a HKZ-basis if $\|\mathbf{b}_i\|_2 \leq \|\mathbf{b}_j\|_2$ for $i < j$, and \mathbf{b}_i is a shortest non-zero vector in Λ , for $i = 1, \dots, n$.

If the dual basis $\mathbf{b}_1^*, \dots, \mathbf{b}_n^*$ is an HKZ-basis of the dual lattice Λ^* , then a basis $\mathbf{b}_1, \dots, \mathbf{b}_n$ of a lattice Λ is also a dual HKZ-basis. By [9], we know that, if $\mathbf{b}_1^*, \dots, \mathbf{b}_n^*$ is a dual HKZ-basis for Λ^* , then $\mathbf{b}_1, \dots, \mathbf{b}_n$ is a dual HKZ-basis for Λ . By this, we can use induction in Theorem 3.2 of section 3.

It is well-known that for two different norms $\|\cdot\|_p$ and $\|\cdot\|_q$ on vector space, we have the following inequalities.

Theorem 2.5.(Hölder's Inequality). For any vector x , the following inequalities hold:

• for any x, y ,

• for any x, y ,

• for x, y ,

3. An Approximate Deep Hole Algorithm Based on dual HKZ-Bases

We give a new algorithm to find a deterministic point far from the lattice. Our technique uses the algorithm for CVP based on dual HKZ-bases to find a lattice vector closest to a target vector, and then, we can find the point.

Lemma 3.1.([9]) Let L be a lattice of rank n with dual HKZ-basis and let b be a vector in \mathbb{R}^n . If v is a vector in L closest to b , then $\|b - v\|_2 \leq n/2$.

Theorem 3.2. For an integer M , there is a deterministic M^n -time algorithm that on input a dual HKZ-basis B , outputs a exact point v has distance from a lattice L at least $1/M$, where b is the input size, n is the rank of the lattice.

Proof. Basing on Lemma 2.2, we construct a set S where, $S = \{v_i\}$, runs over L . So there exists a v_i for some i such that $\|b - v_i\|_2 \leq 1/M$. Now we prove that the Algorithm 1 in Table 1 can find the vector.

We construct vectors v_i corresponding to b_i respectively. Then, a vector v is fixed and let $v = \sum_{i=1}^n \alpha_i b_i$ for some α_i . We need to prove the Algorithm 1 which on input a dual HKZ-basis B of the lattice and a target vector b where $b = \sum_{i=1}^n \beta_i b_i$, outputs a vector v in L closest to b . We prove the algorithm by induction on the rank n of lattice. For $n=1$, the Algorithm 1 correctly compute v closest to b . We know that B is a dual HKZ-basis for, by induction assumption, the recursions compute a vector v in L closest to the target vector b , where v is the orthogonal projection of b into $\text{span}(B)$. By Lemma 3.1, we have $\|b - v\|_2 \leq n/2$. For a fixed n , there exists ϵ such that $\epsilon = 1/M$. For any vector v of form $v = \sum_{i=1}^n \alpha_i b_i$, the distance from v to b is $\|b - v\|_2$. Since $\|b - v\|_2$ is independent of α_i , there exists a vector v such that $\|b - v\|_2 \leq \epsilon$. Hence, v is closest to b that is closest to b . Then, we can choose a fix v such that a vector in L is closest to b , that is, where $\|b - v\|_2 \leq \epsilon$.

For a fixed n , we can compute a vector v in L closest to vector b . Then, for all n , we exactly compute the distance from v to lattice L . So, for some ϵ and n , we have $\|b - v\|_2 \leq \epsilon$. Let, for some ϵ , if we set $\epsilon = 1/M$ and $n = M$. By Lemma 2.2, we find the vector v such that $\|b - v\|_2 \leq (1 - 1/M)$.

By Lemma 3.1, we have $n/2$. In recursions, finding a closest vector to the target vector costs time M^n . We construct vectors v_i , this costs running time of M^n in total. \square

By [9], given a lattice of rank n and its representation size b , computing HKZ-bases costs time $\tilde{O}(b^n)$. So we get the following result.

Theorem 3.3. For an integer $M > 0$, there is a deterministic $\tilde{O}(b^n)$ -time algorithm that on input lattice of rank n , outputs a exact point, which has distance from $\mathbf{0}$ at least $(1-1/M)$, where n is the input size.

4. The Covering Radius and the n th Successive Minimum

We prove the relations between covering radius and the n -th successive minimum. This extends Haviv's result for ℓ_2 norm. **Theorem 4.1.** For any n and a lattice of rank n , $\lambda_n \leq \rho_n$.

Proof. Let $\mathbf{b}_1, \dots, \mathbf{b}_n$ be a basis of lattice L . We have for $\mathbf{v} = \sum_{i=1}^n \alpha_i \mathbf{b}_i$. By Lemma 2.2, there exists a point \mathbf{v} such that for all i , $|\alpha_i| \leq 1/M$ and $\|\mathbf{v}\| \leq (1-1/M)\lambda_n$. So $\rho_n \leq (1-1/M)\lambda_n$.

Using the Hölder's Inequality, we can obtain the following relations between the covering radius and the n th successive minimum in norms for ℓ_p norm.

Corollary 4.2. For any lattice L of rank n and dimension m , $\rho_n \leq \lambda_n$. Specially, for any full-rank lattice, $\rho_n \leq \lambda_n$. And for any, $\rho_n \leq \lambda_n$.

5. Conclusion

In our paper, basing on a dual HKZ-basis of a lattice, we give a deterministic algorithm in time $\tilde{O}(b^n)$ that can find a point quite far from a lattice, we can exactly find the deep hole when $n \leq m/2$. Using the norm triangle inequality and Hölder's Inequality, we prove the relations between the covering radius and the n th successive minimum in norms for ℓ_p norm and this extends Haviv's result. By the relations between the HKZ-bases and the successive minimum, we get the relations in ℓ_p norm. Here, we use dual HKZ-bases, whose computation costs at least $\tilde{O}(b^n)$ as far as we know. So it is an interesting whether there exists more efficient deterministic algorithm to solve approximately deep hole problem.

Appendix

Table 1. Algorithm 1- Deep-Hole Algorithm based on dual HKZ-bases.

Input: A dual HKZ-basis of a lattice.
Output: A point which is at least $1/M$ far from .

- 1.
2. Let α, β , where α, β , take over all the value of α .
3. if then
4. Compute α/β is a vector in α closest to β
5. Compute α .
6. else
7. for
8. fork $2k/2$.
9. compute the orthogonal projection of α onto
10. compute a vector α in closest to the target vector α .
- 11.
- 12.
13. if then α .
14. return α .

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