Research on Prediction Model of Basic Sintering Characteristics of Mixed Iron Ore and Sinter Quality

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Abstract—In order to solve the rapid decision of ore blending scheme in iron ore sintering process, the prediction model of the basic sintering characteristics of mixed iron ore and sinter quality has been established by three algorithms including the support vector machines, BP neural network and general regression neural network. The results show, the model based on support vector machine algorithm is better, which can accurately predict the basic sintering characteristics and sinter quality indexes; the accuracy of prediction for assimilation temperature, liquid fluidity and the binding phase strength are 90%, 93% and 91% respectively, based on the physical and chemical properties of raw material, and the accuracy of prediction for the drum strength and productivity are 89% and 88%, based on the basic sintering characteristics of mixed iron ore and technical parameters.

Keywords—Basic Sintering Characteristics; Sinter Quality; Support Vector Machines; BP Neural Network; GRNN

I. INTRODUCTION

The sintering scheme of iron ore is usually based on existing ore program, or micro adjustment with experience and experiments. The method is blind and cumbersome, difficult to pinpoint the best solution. Domestic and foreign scholars have more research on the optimization of ore blending, but no matter the prediction model of the basic characteristics of the sintering, or the prediction model of the sinter quality is the problem of the low probability of hit rate. To this end, analyze and compare the fit ability and generalization ability of BP neural networks, GRNN (generalized regression neural networks) and SVM (support vector machines) [1-4], Obtaining optimal algorithm. The prediction model of the basic sintering characteristics of mixed iron ore and sinter quality is established, to provide reference for the quick decision of ore blending scheme.

II. CHOICE OF MODELING METHODS

BP neural network is a multilayer feed-forward network trained by the error back propagation algorithm. The GRNN is a feed-forward neural network model that based on the nonlinear regression theory, which is a branch of RBF neural network. The SVM is established based on statistical learning theory and nuclear technology, compared with neural network methods, SVM has the characteristics of small sample learning and good generalization ability.

BP neural network, GRNN and SVM are used to establish the model with the same training set and test set. In addition, the model has be tested and the test results has be compared [5-10]. The training set uses the data of the sintering cup experiment in a steel mill from 2010 October to 2014 June, which is total 450 groups, data type includes the chemical composition of raw materials, blending scheme, sintering parameters, all kinds of sintering indexes and sinter quality index. However, the test set data chooses 13 kinds of ore blending schemes which are mixed from this plant raw material. The chemical compositions of mixed mineral are shown in Table I.
TABLE I. CHEMICAL COMPOSITION OF THE MIXED IRON ORE

<table>
<thead>
<tr>
<th>Raw material</th>
<th>TFe/%</th>
<th>SiO2/%</th>
<th>CaO/%</th>
<th>MgO/%</th>
<th>Al2O3/%</th>
<th>LOI/%</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>62.05</td>
<td>4.17</td>
<td>0.15</td>
<td>0.21</td>
<td>1.50</td>
<td>4.95</td>
</tr>
<tr>
<td>2</td>
<td>62.28</td>
<td>4.43</td>
<td>0.22</td>
<td>0.30</td>
<td>1.45</td>
<td>4.48</td>
</tr>
<tr>
<td>3</td>
<td>62.50</td>
<td>4.68</td>
<td>0.29</td>
<td>0.38</td>
<td>1.41</td>
<td>4.02</td>
</tr>
<tr>
<td>4</td>
<td>62.73</td>
<td>4.94</td>
<td>0.36</td>
<td>0.47</td>
<td>1.36</td>
<td>3.56</td>
</tr>
<tr>
<td>5</td>
<td>61.53</td>
<td>4.42</td>
<td>0.23</td>
<td>0.29</td>
<td>1.44</td>
<td>5.35</td>
</tr>
<tr>
<td>6</td>
<td>60.95</td>
<td>4.83</td>
<td>0.16</td>
<td>0.21</td>
<td>1.47</td>
<td>5.98</td>
</tr>
<tr>
<td>7</td>
<td>63.45</td>
<td>4.38</td>
<td>0.36</td>
<td>0.47</td>
<td>1.31</td>
<td>2.98</td>
</tr>
<tr>
<td>8</td>
<td>62.87</td>
<td>4.80</td>
<td>0.29</td>
<td>0.39</td>
<td>1.34</td>
<td>3.60</td>
</tr>
<tr>
<td>9</td>
<td>61.38</td>
<td>4.53</td>
<td>0.30</td>
<td>0.38</td>
<td>1.36</td>
<td>5.39</td>
</tr>
<tr>
<td>10</td>
<td>62.34</td>
<td>4.51</td>
<td>0.36</td>
<td>0.47</td>
<td>1.30</td>
<td>4.21</td>
</tr>
<tr>
<td>11</td>
<td>61.69</td>
<td>4.81</td>
<td>0.16</td>
<td>0.22</td>
<td>1.40</td>
<td>5.20</td>
</tr>
<tr>
<td>12</td>
<td>62.65</td>
<td>4.79</td>
<td>0.22</td>
<td>0.30</td>
<td>1.34</td>
<td>4.01</td>
</tr>
<tr>
<td>13</td>
<td>62.33</td>
<td>4.23</td>
<td>0.36</td>
<td>0.46</td>
<td>1.26</td>
<td>4.35</td>
</tr>
</tbody>
</table>

Using the cut-and-trial, the structure of the three layer network of BP neural network is 6-13-1. The times of model training is 1000, the goal of it is 0.01, and the learning rate is 0.1. The structure of GRNN and SVM are determined by the training sample self-adaption, the model parameters can be obtained by the grid search and cross-validation. The smoothing parameter of radial basis function of GRNN is 0.42. SVM kernel function is selected by the radial basis kernel function, the penalty function C=1.3124 and the radial basis kernel function g=l. The fitting results of training set and the prediction results of test set are shown in Table II.

TABLE II. FITTING EFFECT OF THE TRAINING SET AND PREDICT EFFECT OF THE EXPERIMENT SET

<table>
<thead>
<tr>
<th>Method</th>
<th>Mean square error</th>
<th>Coefficient of determination</th>
<th>Mean square error</th>
<th>Coefficient of determination</th>
</tr>
</thead>
<tbody>
<tr>
<td>BP</td>
<td>0.0036</td>
<td>0.5492</td>
<td>0.0037</td>
<td>0.5983</td>
</tr>
<tr>
<td>GRNN</td>
<td>0.0034</td>
<td>0.6362</td>
<td>0.0038</td>
<td>0.6891</td>
</tr>
<tr>
<td>SVM</td>
<td>0.0019</td>
<td>0.7695</td>
<td>0.0027</td>
<td>0.7964</td>
</tr>
</tbody>
</table>

It can be seen in Table II that the fitting and generalization of SVM is better than the GRNN and BP neural network. For the fitting of training set and the prediction of test set, the measures are the mean square error and the coefficient of determination. Compared with the other two algorithms, the mean square error of the SVM is minimized and the coefficient of determination of that is closed to 1, which show that the accuracy of prediction model based on SVM is the highest, and the data of prediction is most accurate.

III. MODEL ESTABLISHMENT

A. Confirmation of the Input Parameters

With studying the effect of properties of raw material on the sintering of iron ore, the factors that could affect the basic sintering characteristics and sinter quality are revealed [11-13]. The input and output relationship of the model is shown in Fig. 1.

![Figure 1. Prediction Model of Basic Sintering Characteristics and Sinter Quality](image)

B. Confirmation of the Kernel

Using the kernel, the support vector regression algorithm could turn the practical problem into high dimensional feature space by nonlinear mapping and construct the linear regression function in the high dimensional space to achieve the nonlinear regression of the original space. By selecting different kernels, the data can be mapped to different space to form different algorithms. Due to a wide variety of nuclear function, different kernels have different characteristics, therefore, the kinds and parameters of kernel should be selected when solve the practical problem, some kernels are shown:

1. Polynomial kernel: \( K(x, y) = (x^T y + c)^d \)
2. RBF(Radial basis kernel function):
   \[ K(x, y) = \exp \left( -\frac{\| x - y \|^2}{2\sigma^2} \right) \]
3. Sigmoid kernel:
   \[ K(x, y) = \tanh(\alpha x^T y + c) \]

The same training set and test set were compared in order to measure the effect of different types of kernel function on the performance of model, the result is shown in Table III. It can be seen that the order number of polynomial kernel is \( a = c = 0 \) and \( d = 3 \), while the width of the radial basis function is 1.3124, the Sigmoid kernel function is \( a = 0.1768 \) and \( c = 0 \).

TABLE III. EFFECT OF THE TYPE OF KERNEL FUNCTION ON THE RESULTS BOTH OF FITTING AND PREDICTIVE

<table>
<thead>
<tr>
<th>Kernel</th>
<th>Training set</th>
<th>Test set</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean square error</td>
<td>Coefficient of determination</td>
</tr>
<tr>
<td>Polynomial</td>
<td>0.0030</td>
<td>0.7013</td>
</tr>
<tr>
<td>RBF</td>
<td>0.0021</td>
<td>0.7653</td>
</tr>
<tr>
<td>Sigmoid</td>
<td>0.0064</td>
<td>0.6402</td>
</tr>
</tbody>
</table>

It can be seen in Table III that the fitting and generalization of RBF kernel function are better than polynomial kernel and Sigmoid kernel. However, the parameters of that is less. So the RBF will be the kernel of GRNN model.

C. Optimization of Model Parameters

For the optimization of SVM, at present, the most common method is to use grid search combined with cross-validation to optimize parameters. At the same time,
the research and application of genetic algorithm, particle swarm algorithm and other heuristic algorithms are also gradually increased [14].

The grid search (GS) is to try all kinds of possible parameters matching, cross validation, and take the highest accuracy of cross validation parameters as the best combination of parameters. Genetic algorithm (GA) is a kind of adaptive probability optimization technology, which is based on genetic and evolutionary mechanisms. It has implicit parallelism and powerful global search ability. Particle swarm algorithm (PSO) is an optimization algorithm based on swarm intelligence, searching through the particle in the solution space to follow the best example.

Using the grid search, genetic algorithm and particle swarm algorithm respectively to optimize the model parameters, set C in the range of (0~10), σ in the range of (0~10), the maximum evolution generations and population sizes of genetic algorithm and particle swarm are set to 300 and 50. The result of parameter optimization is shown in Table IV.

![Table IV. Parameter optimization results](image)

<table>
<thead>
<tr>
<th>Parameter optimization method</th>
<th>GS</th>
<th>GA</th>
<th>PSO</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>1.3124</td>
<td>1.5667</td>
<td>1.5106</td>
</tr>
<tr>
<td>σ</td>
<td>1.0000</td>
<td>1.0435</td>
<td>1.0793</td>
</tr>
</tbody>
</table>

The effect of various parameters optimization methods on the results of fitting and prediction is shown in Table V.

![Table V. Effect of parameter optimization method on the results both of fitting and predictive](image)

<table>
<thead>
<tr>
<th>Parameter optimization method</th>
<th>The training set</th>
<th>The experiment set</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean square error</td>
<td>Coefficient of determination</td>
</tr>
<tr>
<td>GS</td>
<td>0.0020</td>
<td>0.7695</td>
</tr>
<tr>
<td>GA</td>
<td>0.0017</td>
<td>0.7752</td>
</tr>
<tr>
<td>PSO</td>
<td>0.0017</td>
<td>0.7798</td>
</tr>
</tbody>
</table>

It can be seen in Table V that after the optimization of genetic algorithm or particle algorithm, the fitting effect of model on the training set is better while the prediction effect on test set is worse than the grid search. Therefore, this study combines the grid search and cross validation to optimize the parameters of the model.

IV. PREDICTION EFFECT

In the laboratory using TSJ-3 type micro sintering machine, 50kg grade sintered cup, the based performance, the drum, and the utilization factor of 13 kinds of mix ore are tested. According to the relationship of input-output, the SVM is used to establish the assimilation temperature prediction model, liquid fluidity prediction model, binder phase strength prediction model, drum strength prediction model and productivity prediction model. The data of prediction is compared with that of experiment, the prediction results of the models are shown in Fig. 2 to Fig.6, the accuracy rate is shown in Table VI.
fitting and generalization ability, determine to set up based regression neural network and support vector machine productivity is according to the sintering site requirements. experiments. The relative error of drum strength and determined by the control precision of micro sintering temperature, liquid fluidity and binder phase strength is characteristics of mixed iron ores and sinter quality indicators, assimilation temperature, liquid fluidity, binder phase strength, drum strength and productivity of the prediction hit rate is respectively 90%, 93%, 91%, 89% and 88%. Fast ore blending and rapid decision of ore blending plan can be achieved with the model established.

V. CONCLUSIONS

1) Compared with the BP neural network, generalized regression neural network and support vector machine fitting and generalization ability, determine to set up based characteristics and sinter quality prediction model based on the support vector machine.

2) SVM kernel function is selected RBF kernel, optimization of the model parameters use the method which grid search and cross-validation are combined. The model has good adaptability, fitting effect and search effect is better.

3) The model can accurately predict the basic sintering characteristics of mixed iron ores and sinter quality prediction model based on support vector machines. Journal of University of Electronic Science and technology review, 2011, 40 (1): 2-10.

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