Simulation and Analysis on Average Bond Lengths in GaInNAs Electrical Materials

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**Abstract.** This article describes the bond length distribution of the Ga\textsubscript{1-x}In\textsubscript{x}NyAs\textsubscript{1-y} quaternary alloy which has attracted much attention in recent years for its potential use in fabricating long wavelength optoelectronic devices, and propose a statistical model, which calculates the average bond lengths in as-grown and fully annealed Ga\textsubscript{1-x}In\textsubscript{x}NyAs\textsubscript{1-y} alloys when constituent proportion is an integer and \(x:y \geq 4\). First we calculate the statistical bond distributions of a definite super cell, and then generalize the formulas of the average lengths after extracting the distributing probabilities of the N–centered nearest-neighbor clusters with a \(\sigma^2 = 1\) Gaussian distribution based on the former experiment. Finally the optimized bond lengths of Ga\textsubscript{28}In\textsubscript{4}N\textsubscript{1}As\textsubscript{31} super cells under different nearest-neighbor environments are obtained using DMol\textsuperscript{3} module in Material Studio based on the first principle, and the average bond lengths and strain are computed. We find that the local strain, the total strain and total binding energy of the system will decrease after annealing, which is in good agreement with the previous researches.

**Introduction**

The Ga\textsubscript{1-x}In\textsubscript{x}NyAs\textsubscript{1-y} quaternary alloy has attracted much attention in recent years for its potential use in fabricating long wavelength optoelectronic devices [1]. As is well known, inserting an element In conventional III–V semiconductors such as GaAs or InP usually leads to a reduction in band gap energy and at the same time an increase in the lattice constant. But when N is inserted, the lattice constant will reduce as well as band gap energy. Accordingly, lattice matched Ga\textsubscript{1-x}In\textsubscript{x}NyAs\textsubscript{1-y}/GaAs QW emitting at definite long wavelength can be achieved. However, the growth of this dilute nitride semiconductor is complicated by phase separation effects due to the solubility limit of nitrogen, which leads to poor as-grown photoluminescence (PL) efficiencies. Rapid thermal annealing (RTA) is usually used to decrease the density of the non-radioactive recombination centers and improve the material quality. Meanwhile, it causes a drastic blue shift of band gap [2][3]. A large number of spectra studies suggest that the nearest-neighbor atoms changes lead to blue shift [4]. Later studies have also confirmed that annealing makes the In-N bond lengths greatly increase, and can reduce total strain of the system [2][4]. But the exact ratio between In-N bond and other binary compounds has not been reported. We simulate Ga\textsubscript{28}In\textsubscript{4}N\textsubscript{1}As\textsubscript{31} super cells under different nearest-neighbor environments using DMol\textsuperscript{3} module in Material Studio based on the first principle, and discuss the strain before and after annealing.

**Theoretical Model**

In conventional ternary alloys, the proportion of different bonds is fixed. Such as, the ratio between In-As bond and Ga-As bond is \(x:(1-x)\) in Ga\textsubscript{1-x}In\textsubscript{x}As ternary alloys. But, in as-grown Ga\textsubscript{1-x}In\textsubscript{x}NyAs\textsubscript{1-y} quaternary alloys, the proportion of the four different binary bonds is uncertain. Their distributions in all probability vary from Vegard’s law and the deviation can be described using a “short range order” parameter \(\xi\) [5], which is defined as

\[
\xi = \frac{\eta_{\text{In-N}}}{M - x_{\text{In}}y_{\text{N}}}
\]  

(1)
Where $n_{in-n}$ is the number of the nearest-neighbor (NN) In-N bonds, and $M$ is the total number of the whole bonds.

It’s certain that the number of Ga-N bonds is far more than In-N bonds if the binary bonds of Ga$_{1-x}$ln$_x$N$_y$As$_{1-y}$ alloys are completely random arranged ($\xi = 0$) [4].

Here we propose an assumption that the phase separation result of equimolar system GallNAs at $T=0$ K is “Ga-N + In-As”, in which constituents are incoherent with the substrate (GaAs). In other words, the configuration under assumed 0K growth condition is determined only by less bond energy, and strain has little effect on it [3].

when the material is fully annealed, the distributions will be static and at definite annealing temperature $T$, $\xi(x,y,T)$ is exclusive. So the proportions of each binary bond can be written as

$$\text{In-N}: \quad x + \xi$$

$$\text{Ga-N}: \quad y(1-x) - \xi$$

$$\text{In-As}: \quad x(1-y) - \xi$$

$$\text{Ga-As}: \quad (1-x)(1-y) + \xi$$

This $\xi(x,y,T)$ equation is easily positive solution and the number of NN In atoms per N is calculated and designated as $r$ parameter

$$r = 4(xy + \xi) / y$$

In the ideal model, when constituent proportion is integer and $x:y \geq 4$, Ga$_{1-x}$ln$_x$N$_y$As$_{1-y}$ alloys can be approximately divided into five N-centered NN clusters N-In$_s$Ga$_{4-s}$ and each super-unit cell are consistent ratio between components. So, the distributions probability of super-unit cell is determined entirely by clusters.

Ga$_{1-x}$ln$_x$N$_y$As$_{1-y}$ meeting the above composition conditions might as well be written in the form of super-unit cell Ga$_m$ln$_n$N$_m$As$_{m+n-1}$, so

$$x = n / (m+n), \quad y = 1 / (m+n) \quad (m, n \text{ is an integer})$$

We suppose that the five N-centered NN clusters N-In$_s$Ga$_{4-s}$ ($s=0, 1, 2, 3, 4$) are homogeneously embedded in InGaAs, and with respect to $M_0$ mol Ga$_{1-x}$ln$_x$N$_y$As$_{1-y}$ the number of each clusters are $k_s$ mol, respectively, so

$$k_0 + k_1 + k_2 + k_3 + k_4 = M_0 y$$

$$k_1 + 2k_2 + 3k_3 + 4k_4 = 4M_0 (xy + \xi)$$

$$4k_0 + 3k_1 + 2k_2 + k_3 = 4M_0 [y(1-x) - \xi]$$

$$(4m-4)k_0 + (4m-3)k_1 + (4m-2)k_2 + (4m-1)k_3 + 4mk_4 = 4M_0 [(1-x)(1-y) + \xi]$$

$$4nk_0 + (4n-1)k_1 + (4n-2)k_2 + (4n-3)k_3 + (4n-4)k_4 = 4M_0 [x(1-y) - \xi]$$

With a particular composition and annealing temperature, the corresponding $k_s$ can not be obtained from formula (8)-(12). Lordi et al [3] found that in as-growth Ga$_{1-x}$ln$_x$N$_y$As$_{1-y}$ quaternary alloys N is around the center of the average number of In atoms, N-In$_s$Ga$_{4-s}$ clusters are with a $\sigma^2 = 1$ Gaussian distribution. When achieving annealed thermal equilibrium, the conversion probability between the clusters is equal, also expected to meet the above distribution.

In this paper, the probability distribution of five clusters is $K_s/4M_0$. May wish to make it meet the $r$-centered Gaussian distribution, then the probability distribution of the binary compounds in five different super cells is as following equation.

$$p_s = \frac{1}{\sqrt{2\pi}\sigma} \exp[ (s-r)^2 / 2\sigma^2 ]$$

In this paper, the average bond length of In-N bond can be written as
\[
\overline{d}_{In-N} = \frac{\sum_{s=0}^{4} s \ast p_s \ast d'_{In-N}}{\sum_{s=0}^{4} s \ast p_s}
\]

(14)

Where \(d'_{In-N}\) is the In-N bond in the corresponding super cell, \(s\) is the number of In-N bond.

For the Ga-As bond, we sum up the formula of the average bond length as follows:

\[
\overline{d}_{Ga-As} = \frac{\sum_{s=0}^{4} (4m - 4 + s) \ast p_s \ast d'_{Ga-As}}{\sum_{s=0}^{4} (4m - 4 + s) \ast p_s}
\]

(15)

Similarly, we get the average bond lengths of Ga-N bond and the In-As bond.

Considering the strain of the binary alloy, the strain can be obtained from the changes of the average bonds. So the revised average bond lengths of the strain contained binary components can be written as:

\[
\overline{d}_{i-j} = (1 + \varepsilon_{i-j}) \ast \overline{d}_{i-j}
\]

(16)

Where \(i\) is cation and \(j\) is anion, \(d\) is bond length of the corresponding intrinsic compounds.

Modeling and simulated calculation

The ideal GaAs is blende structure, belonging to F43m space group, with \(a=b=c=0.565315\)nm, \(\alpha=\beta=\gamma=90^\circ\). We simulate the structure and physical characteristics of Ga\(_{28}\)In\(_{4}\)N\(_1\)As\(_{31}\) super cells under different nearest-neighbor environments using DMol\(^3\) module based on the first principle. Considering \(\text{III-}\text{V}\) elements of small clusters close to the ground state structure, in establishing Ga\(_{1-x}\)In\(_x\)As model, GaAs is first used as the primary structure of super cell, with the atom As in the very centre. Then the atom As is substituted by atom N, and N-nearest neighbor In atoms increase from 0 to 4 in number, and atom In is to be distributed in super cell symmetrically.

Fig.1. Blende structure Ga\(_{28}\)In\(_{4}\)N\(_1\)As\(_{31}\) super cells with 64 atoms

In figures 1, we simulate the structure and physical characteristics of blende structure Ga\(_{28}\)In\(_{4}\)N\(_1\)As\(_{31}\) super cells with 64 atoms under different nearest-neighbor environments, and get the corresponding bond length and the total binding energy when N-nearest neighbor In atoms increase from 0 to 4 in number, the binding energy of five super cells, respectively, are -265.32622 eV, -265.55357eV, -265.63017eV, -265.87206eV and -265.95466eV. The total binding energy of the system will decrease after annealing, which is in good agreement with the increase in the number of In-N bonds.

Results and Discussion

Figure 2 shows the relationship between \(r\) and the probability distribution \(P_s\) of five kind clusters in Ga\(_{28}\)In\(_{4}\)N\(_1\)As\(_{31}\) cell. We can see directly, N-nearest neighbor clusters dominated by N-In\(_0\)Ga\(_4\) and N-In\(_1\)Ga\(_3\) in as-growth alloy; After the fully annealed with \(T=800K\), In-N bonds significantly increased in number, and N-nearest neighbor clusters dominated by N-In\(_2\)Ga\(_2\) with increasing
annealing temperature. When the component ratio x:y is much larger than 4, corresponding peak of Guassion distribution will be close to 3.

![Graph](image)

Fig. 2. The relation between the probability distribution $P_s$ of five kind clusters and $r$

<table>
<thead>
<tr>
<th>binary compounds</th>
<th>strain-free $\bar{d}_0$/nm</th>
<th>as-growth $\bar{d}$/nm</th>
<th>complete annealed $\bar{d}_{ann}$/nm</th>
<th>as-growth strain (%)</th>
<th>annealed strain (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>In-N bond</td>
<td>0.21400</td>
<td>0.22365</td>
<td>0.22035</td>
<td>4.51</td>
<td>2.97</td>
</tr>
<tr>
<td>Ga-N bond</td>
<td>0.19500</td>
<td>0.20371</td>
<td>0.20097</td>
<td>4.47</td>
<td>3.06</td>
</tr>
<tr>
<td>In-As bond</td>
<td>0.26200</td>
<td>0.25965</td>
<td>0.26012</td>
<td>-0.9</td>
<td>-0.72</td>
</tr>
<tr>
<td>Ga-As bond</td>
<td>0.24500</td>
<td>0.24450</td>
<td>0.24439</td>
<td>-0.2</td>
<td>-0.25</td>
</tr>
</tbody>
</table>

Table 1 shows the average bond length of four compounds in the strain-free, as-growth and complete annealed (T = 800K) conditions. We can see from the data in the table, the average bond length of In-N bond and Ga-N bond reduce. From formula (16), we calculated annealed strain is about 3%, and the strain reduction caused by annealing about 1.5%. The strain in In-As bond decreased slightly, while increased slightly in Ga-As bond.

Conclusions

In this thesis, we simulate and obtain the optimized bond lengths, and sum up the average bond lengths. The results show that the local strain reduce in annealing and reduce by 3% after complete annealing, and simultaneously the total strain and total binding energy of the system will decrease after annealing, which is in good agreement with the previous researches. The above theoretic simulation on physical parameters is also of practical significance and is expected to offer some reference to researchers and industrial circles in designing Ga$_{1-x}$In$_x$N$_y$As$_{1-y}$ based semiconductor components.

References