

## Undershoot Reduction in Discrete-Time ADRC of NMP Plant by Parameters Optimization

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### Abstract

Undershoot phenomena caused by unstable zeros of non-minimum-phase (NMP) plant is difficult to deal with in active disturbance rejection control (ADRC). This paper proposes a new method to reduce undershoot by optimizing the controller parameters of discrete-time ADRC system. Simulation results are given to verify the effectiveness of the proposed scheme.

*Keywords:* ADRC, NMP System, Discrete Control, Parameters Optimization

### 1. Introduction

Active disturbance rejection control (ADRC), first proposed by Han Jingqing, as a novel control design method has been applied to solve various control problems since 2000s<sup>1</sup>. The ADRC technique, including Extended State Observer (ESO), mentioned in Han's book<sup>2</sup> is a kind of non-linear technique which shows great advantages in dynamic performance, robust performance, and disturbance rejection performance. But it confronts with much trouble in finding appropriate tuning method to deal with ADRC parameters for different plants. Gao Zhiqiang focuses on

the linearized ADRC and gives a bandwidth-parameterization method to solve the linear ADRC tuning problem.<sup>3</sup> The number of ADRC parameters to be tuned can be decreased to two in this method which largely simplifies the task of ADRC design.

The discrete control technology as an emerging technology has been widely applied in control system. Various discrete techniques have been proposed to solve control problems.<sup>4, 5</sup> In This paper, a discrete ADRC is designed to achieve the control task for NMP system which having the undershoot problem due to the unstable zeros.<sup>6, 7</sup> A modified method of using appropriate non-linear function for parameters

optimization to reduce undershoot will be discussed in the following sections. For simplicity, the subject to be discussed in this paper is the second order system.

## 2. Discrete Active Disturbance Rejection Control

### 2.1. Discrete Extended State Observer

The linear extended state observer (LESO)<sup>3</sup> can be constructed as

$$\begin{aligned}\dot{z} &= Az + Bu + L(y - \hat{y}) \\ \hat{y} &= Cz\end{aligned}\quad (1)$$

where

$$\begin{aligned}A &= \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}, B = \begin{bmatrix} 0 \\ b_0 \\ 0 \end{bmatrix}, \\ C &= [1 \ 0 \ 0], L = \begin{bmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \end{bmatrix}\end{aligned}\quad (2)$$

Using  $\omega_0$ -Parameterization method mentioned in Ref. 3, the observer gain vector L can be get as

$$\beta_1 = 3\omega_0, \beta_2 = 3\omega_0^2, \beta_3 = \omega_0^3 \quad (3)$$

where  $\omega_0$  is the bandwidth of the observer. And the value of  $b_0$  falls in the range from 20 to 100. The extended state observer can be discretized as<sup>8,9</sup>

$$\begin{aligned}z(k+1) &= \Phi z(k) + \Gamma u(k) + L_p(y(k) - \hat{y}(k)) \\ \hat{y}(k) &= Hz(k)\end{aligned}\quad (4)$$

where

$$\Phi = e^{Ah}, \Gamma = \left( \int_0^h e^{At} dt \right) B, H = C, L_p = \begin{bmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \end{bmatrix} \quad (5)$$

$h$  is the sample period. And the estimator gain vector  $L_p$  is determined by the equation

$$\lambda(z) = |zI - (\Phi - L_p H)| = (z - \beta)^3 \quad (6)$$

Combine equation (2) and (5), and solving (6) for  $L_p$

yields<sup>8</sup>

$$\Phi = \begin{bmatrix} 1 & h & \frac{h^2}{2} \\ 0 & 1 & h \\ 0 & 0 & 1 \end{bmatrix}, \Gamma = b \times \begin{bmatrix} \frac{h^2}{2} \\ h \\ 0 \end{bmatrix},$$

$$H = [1 \ 0 \ 0], L_p = \begin{bmatrix} 3 - 3\beta \\ \frac{(\beta - 1)^2(\beta + 5)}{2h} \\ -\frac{(\beta - 1)^3}{h^2} \end{bmatrix} \quad (7)$$

$$\beta = e^{-\omega_0 h} \quad (8)$$

where  $b$  is a constant.

### 2.2. Discrete ADRC control law

The parameterized control law can be constructed as<sup>3,8</sup>

$$u_0(k) = k_p(r(k) - z_1(k)) - k_d z_2(k) \quad (9)$$

$$u(k) = \frac{u_0(k) - z_3(k)}{b} \quad (10)$$

where

$$\omega_0 = 2 \sim 5\omega_c, k_p = \omega_c^2, k_d = 2\omega_c \quad (11)$$

## 3. Optimization of ADRC Parameters

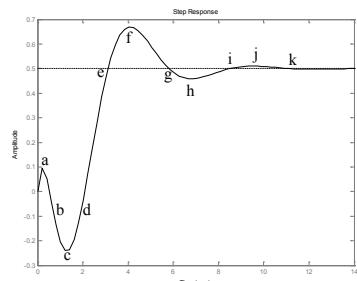


Figure 1 step response of NMP system

For the controller design, we need a bigger proportional gain at a, c, f, h and j, and a smaller one at b, e, g, i and k in Fig. 1. A bigger differential gain between a and b, c and d, f and g, h and i and j and k, and a smaller one between b and c, e and f, g and h and i and j in Fig. 1<sup>10</sup>. Generally this is not realizable where controller parameters are found being fixed. But we can choose appropriate non-linear functions to optimize the proportional gain and differential gain of the controller as a solution to this problem<sup>10</sup>.

We assume  $y_d$  as the derivative of the output,  $y_{d2}$  as the second derivative of the output, and  $e(k)$  as the error between the reference and the output of the system. Using non-linear function, controller parameters can be constructed as

$$e(k) = r - z_1(k) \quad (12)$$

$$y_d(k) = \frac{z_1(k) - z_1(k-1)}{h} \quad (13)$$

$$y_{d2}(k) = \frac{y_d(k) - y_d(k-1)}{h} \quad (14)$$

$$K_p = K_{pr} \times (1 + \frac{2}{e^{y_d} + e^{-y_d}}) \quad (15)$$

$$K_d = \frac{K_{dr}}{(1 + e^{-2\text{sign}(y_d)\text{sign}(y_{d2})|e(k)|})} \quad (16)$$

where  $K_{pr}$ ,  $K_{dr}$  is the original proportional gain and original differential gain of the controller which can be settled using the method mentioned in (9) and (11). And  $z_1(k)$  is the state of the extended observer which is used to estimate the output of the plant.

#### 4. Design Example

A simulation of NMP system is used to demonstrate the control design procedure and its resulting performance. The plant is modeled as

$$G(s) = \frac{-s+2}{s^2 + 40s + 400} \quad (17)$$

Its corresponding z transfer function and difference equation is shown as (18) and (19)

$$G(z) = \frac{-0.004501z + 0.004546}{z^2 - 1.81z + 0.8187} \quad (18)$$

$$\begin{aligned} y(k) &= 1.81y(k-1) - 0.8187y(k-2) \\ &- 0.004501u(k-1) + 0.004546u(k-2) \end{aligned} \quad (19)$$

The sample period  $h$  is taken as 0.005s. The discrete extended state observer can be constructed as

$$\begin{aligned} z(k+1) &= \Phi z(k) + \Gamma u(k) + L_p(y(k) - \hat{y}(k)) \\ \hat{y}(k) &= Hz(k) \end{aligned} \quad (20)$$

As is mentioned in Ref. 2, the extended state is fed back to cancel the model uncertainty.  $\omega_0$  is selected as 100 rad/sec and using bandwidth-parameterization, parameters of discrete ESO are chosen as

$$u(k) = \frac{u_0(k) - z_3(k)}{b} \quad (21)$$

$$\Phi = \begin{bmatrix} 1 & 0.005 & 1.25 \times 10^{-5} \\ 0 & 1 & 0.005 \\ 0 & 0 & 1 \end{bmatrix}, \quad \Gamma = b \times \begin{bmatrix} 1.25 \times 10^{-5} \\ 0.005 \\ 0 \end{bmatrix},$$

$$H = \begin{bmatrix} 1 & 0 & 0 \end{bmatrix}, \quad L_p = \begin{bmatrix} 1.2 \\ 86.8 \\ 2436.6 \end{bmatrix} \quad (22)$$

where  $b = 120$ .

Finally the controller can be designed as (23) to control augmented system where  $r$  is the setpoint. The value of  $\omega_c$  is 25 rad/sec.

$$u_0(k) = K_p(r - z_1(k)) - K_d z_2(k)$$

$$\begin{aligned} K_p &= \omega_c^2 \times (1 + \frac{2}{e^{y_d} + e^{-y_d}}) \\ K_d &= \frac{2\omega_c}{(1 + e^{-2\text{sign}(y_d)\text{sign}(y_{d2})|e(k)|})} \end{aligned} \quad (23)$$

The result for the simulation mentioned above is shown in figure 2. The dash line represents the step response of regular discrete ADRC and the solid line represents the step response of modified discrete ADRC. Intuitively, the undershoot of modified discrete ADRC is lower than that of regular discrete ADRC.

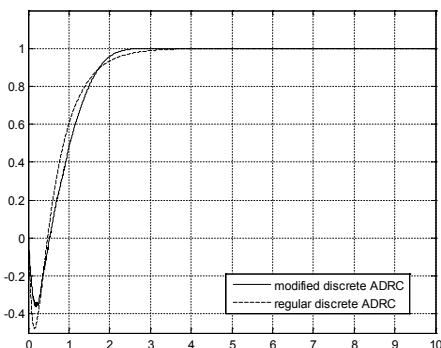


Figure 2 Step response of regular discrete ADRC and modified discrete ADRC

## 5. Conclusion Remarks

In this paper, a method of ADRC parameters optimization using nonlinear function has been discussed. It is shown that the modified discrete ADRC has smaller undershoot than the regular one. Simulation result has been shown to verify the effectiveness of the proposed scheme.

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